

PTOL-413A (08-03)  
Approved for use through 07/31/2006, OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No. 09/307,187 First Named Applicant: Kenneth Friedland  
Examiner: Susanna M. Meinecke Diaz Art Unit: 3623 Status of Application: Pending

#### Tentative Participants:

(1) Irah H. Donner (2) James M. Hunter, Jr.  
(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: TBD Proposed Time: TBD (AM/PM)

#### Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u>	<u>1-8, 10, 12-23,</u>	<u>Fields et al.</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	<u>27-41, 43-66,</u>	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	<u>68-92</u>	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

#### Brief Description of Arguments to be Presented:

Differentiation of invention over the prior art.

An interview was conducted on the above-identified application on \_\_\_\_\_.

#### NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

  
(Applicant/Applicant's Representative Signature)

\_\_\_\_\_  
(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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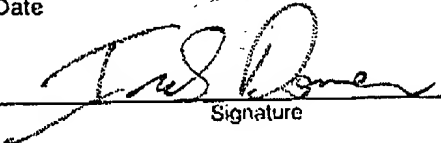
Re: U.S. Patent Application No. 09/307,187 filed 05/07/1999  
Title: Computer Implemented Resource Allocation Model and Process  
First Inventor: Kenneth D. Friedland  
Attorney Docket No.: 103864.200 US1

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the  
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Irah H. Donner

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each submitted paper.

## Document

Amendment Transmittal  
Petition for Extension of Time  
Amendment  
Applicant Initiated Interview Request Form

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PATENT/OFFICIAL

Docket No.: 103864.200

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of FRIEDLAND, et al : Confirmation No. 4512  
 Serial No. 09/307,187 : Group Art Unit: 3623  
 Filed: May 7, 1999 : Examiner: Susanna M. Meinecke-Diaz

For: COMPUTER IMPLEMENTED RESOURCE ALLOCATION MODEL  
 AND PROCESS TO DYNAMICALLY AND OPTIMALLY SCHEDULE  
 AN ARBITRARY NUMBER OF RESOURCES SUBJECT TO AN  
 ARBITRARY NUMBER OF CONSTRAINTS IN THE MANAGED  
 CARE, HEALTH CARE AND/OR PHARMACY INDUSTRY

HONORABLE COMMISSIONER FOR PATENTS  
 Washington, D. C. 20231

Dear Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ No additional fee is required.  
☐ Small Entity Status has been previously established.  
☒ Also attached: Applicant Initiated Interview Request Form, Petition for Extension of Time  
☒ The fee has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	74	83	0	x \$50 =	\$0.00
Independent Claims	4	4	0	x \$200 =	\$0.00
If multiple claims newly presented, add \$280.00					
Fee for extension of time					\$120.00
TOTAL FEE DUE					\$120.00

[X] The Commissioner is hereby authorized to charge the above amount and payment of any additional fees associated with this communication, or credit any overpayment, to Deposit Account No. 08-0212, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,  
 WILMER CUTLER PICKERING HALE and DORR LLP

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